Infection Prevention Society

Dental Practice	

1. Prevention of Bloodborne virus exposure

Question		Response	Reference in HTM
Q1	Does the practice have a policy and procedure/s in place for the prevention and management of blood borne virus exposure, including management of spillages, sharps and inoculation incidents in accordance with national guidance?	Yes No	2.6
Q2	Have all staff received training in relation to the prevention and management of blood-borne virus exposure?	Yes No	1.22, 9.1, 9.5
Q3	Have all staff at risk from sharps injuries received an occupational health check in relation to risk reduction in blood-borne virus transmission and general infection?	Yes No	2.6
Q4	Can decontamination and clinical staff demonstrate current immunisation with the hepatitis B vaccine e.g. documentation?	Yes No	2.4s, 8.8
Q5	Are chlorine-releasing agents available for blood /bodily fluid spillages and used as per manufacturers instructions?	Yes No	6.74
Q6	Are sharps containers correctly assembled?	Yes No	
Q7	Are in-use sharps containers labelled with date, locality and a signature?	Yes No	
Q8	Are sharps containers filled beyond the indicator mark?	Yes No	
Q9	Are sharps containers locked with the integral lock when filled to the indicator mark?	Yes No N/A	
Q10	Are full sharps containers stored in a secure facility away from public access?	Yes No	
Q11	Is there a readily-accessible protocol in place that ensures staff are dealt with in accordance with national guidance in the event of blood-borne virus exposure?	Yes No	2.6
Q12	Are inoculation injuries recorded?	Yes No	

Question		Response	Reference in HTM
Q13	Are disposable needles and disposable syringes discarded as a single unit?	Yes No N/A	
Q14	Are sharps containers available at the point of use and positioned safely (e.g. wall mounted)?	Yes No	

2. Decontamination

	Question	Response	Reference in HTM
Q1	Does the practice have a policy or procedure that includes all appropriate aspects of decontamination within the practice e.g. cleaning, disinfection, inspection, packaging, disposal, sterilization, transport and storage of re-usable and single use instruments?	Yes No	2.6
Q2	Has all relevant staff received training for the decontamination procedures which they are expected to perform including correct use of equipment?	Yes No	1.22, 2.4q, 3.7, 3.16
Q3	Is a record kept of any instruments that cannot be reprocessed in accordance with your local decontamination policy?	Yes No	
Q4	Are all wrapped, sterilized instruments dated with the use-by date?	Yes No	1.8, 4.24, 4.28
Q5	Does the practice have a nominated lead responsible for infection control and decontamination?	Yes No	2.4c, 9.3
Q6	Has the registered manager a written statement of duties with specific reference to equipment validation?	Yes No	11.5
Q7	Is there a procedure for transportation of instruments to and from other locations that ensures the segregation of contaminated instruments from clean/sterilised instruments?	Yes No N/A	2.13, 2.24-2.31
Q8	Are all log books including testing, service, maintenance and repair records retained in the practice for at least 2 years?	All records available, Most records available, Some records available, No records available	3.19, 4.3, 4.12, 4.14
Q9	Cleaning: Are disposable instrument trays used or if re-usable trays are used are they decontaminated and sterilized after each use?	Yes No	2.11
Q10	Cleaning: Are any instruments (used or unused) left on trays at the end of each session decontaminated (washed and sterilized) before further use?	Yes No	2.4k, 2.10
Q11	Cleaning: Are instruments that are not decontaminated immediately, kept moist until they are decontaminated?	0 hours, 1-3 hours, 3-6 hours, 6+ hours, N/A	3.5
Q12	Cleaning: Are Instruments inspected under illuminated magnification device for cleanliness and condition following cleaning?	Always, Almost always, Never, N/A	2.4 h, 3.18, 3.49, 3.50, 3.51, 3.52

	Question	Response	Reference in HTM
Q13	Cleaning: Are hand pieces decontaminated in-between each patient in accordance to manufacturer's instructions?	Yes No	2.4t
Q14	Cleaning: Are separate canisters of lubricant used for unclean, cleaned and sterilized instruments?	Yes No	3.56
Q15	Cleaning: Are those handpieces that are manually cleaned/wiped, lubricated with oil before steam sterilization in accordance with manufacturer's instructions?	Yes No N/A	18.0, 3.24, 3.55, 3.56
Q16	Cleaning: Are those hand pieces decontaminated by an automated washer disinfector lubricated with oil before steam sterilization in accordance with manufacturer's instructions?	Yes No N/A	3.24
Q17	Cleaning: Are those handpieces decontaminated by an automated washer-disinfector with a specific handpiece irrigation system, lubricated with oil before steam sterilization in accordance with manufacturer's instructions?	Yes No N/A	3.21, 3.24
Q18	Cleaning: Are those dental handpieces washed by a specific handpiece washer device, lubricated with oil before steam sterilization in accordance with manufacturer's instructions?	Yes No N/A	3.22
Q19	Cleaning: Are all other dental instruments washed in a washer-disinfector before steam sterilization?	Yes No N/A	3.1, 3.2, 3.42, 4.3
Q20	Cleaning: Where practices do not have a washer-disinfector, are all instruments cleaned manually before steam sterilization?	Yes No N/A	2.4h, 3.33, 3.42
Q21	Manual Cleaning: Are two sinks or two bowls in a single sink unit, used for cleaning - one for washing and a separate one for rinsing?	Yes No	2.4u, 5.7, 16.1
Q22	Manual Cleaning: Are detergents used specifically formulated for purpose of cleaning instruments?	Yes No	16.3, 16.3a
Q23	Manual Cleaning: Is detergent used at a specified concentration according to manufacturer's guidance?	Yes No	16.3a
Q24	Manual Cleaning: Is the temperature of water 45C or lower?	Yes No	16.3b
Q25	Manual Cleaning: Where manufacturer's instructions permit are instruments fully submerged when cleaned?	Yes No	16.3c

	Question	Response	Reference in HTM
Q26	Manual Cleaning: Are brushes used to clean instruments either single use or washed after each use and replaced at manufacturer's recommended interval or when damaged?	Yes No	16.3f
Q27	Validation and Testing: Are there contractual arrangements to ensure all steam sterilizers are routinely maintained and validated in accordance with HTM requirements or with manufacturer's instructions?	Yes No	1.9, 11.1, 12.0
Q28	Validation and Testing: Are daily, weekly, quarterly and annual inspection, testing and maintenance records available for steam sterilizers as described in section 12 of the HTM 01-05?	All records available, Most records available, Some records available, No records available	12.0
Q29	Validation and Testing: Is the steam sterilizer removed from service following an unsatisfactory test result until the fault is rectified?	Yes No	11.2, 4.21
Q30	Validation and Testing: Are there arrangements to ensure all ultrasonic cleaners are maintained and validated in accordance with HTM 01-05 requirements or with manufacturer's instructions?	Yes No N/A	14.0, 15.6
Q31	Validation and Testing: Are daily, weekly, quarterly and annual inspection, testing and maintenance records available for ultrasonic cleaners?	All records available, Most records available, Some records available, No records available, N/A	14.0
Q32	Validation and Testing: Are there contractual arrangements in place to ensure all automated washer-disinfectors are routinely maintained and validated in line with manufacturer's instructions?	Yes No N/A	13.0
Q33	Validation and Testing: Are daily, weekly, quarterly and annual validation and testing results recorded for automated washer-disinfectors?	All records available, Most records available, Some records available, No records available, N/A	13.0
Q34	Ultrasonic Cleaners: Are instruments placed in instrument basket or cassettes and fully immersed ensuring that all surfaces are in contact with the solution?	Yes No N/A	3.30(d,e)
Q35	Ultrasonic Cleaners: Is the lid closed during cleaning cycles and whilst not in use to prevent contamination of the ultrasonic cleaning solution?	Yes No N/A	3.30h

	Question	Response	Reference in HTM
Q36	Ultrasonic Cleaners: Is the water in the chamber emptied when visibly contaminated or otherwise at the end of every clinical session?	Yes No N/A	3.30k
Q37	Ultrasonic Cleaners: Where instruments are manually cleaned are they rinsed after removing from the ultrasonic cleaner before sterilisation?	Yes No N/A	3.30m, 3.31
Q38	Washer-Disinfectors: Are relevant staff aware of the instrument loading procedure i.e. spray arms are free to rotate, cannulated instruments are correctly loaded?	Yes No N/A	3.17
Q39	Washer-Disinfectors: Are cycle parameters recorded?	Yes No N/A	3.19
Q40	Sterilizers: Is there a record made of the date, temperature and pressure achieved and satisfactory completion of each cycle?	All records available, Most records available, Some records available, No records available	4.3, 4.14, 4.16
Q41	Sterilizers: Are steam sterilizers used if fault lights are displayed?	Yes No	4.21
Q42	Sterilizers: Are pre-wrapped instruments placed only in vacuum type sterilizers?	Yes No N/A	4.9
Q43	Sterilizers: Is distilled water, sterile water for irrigation or reverse osmosis (RO) water used in the sterilizer?	Yes No	4.11
Q44	Sterilizers: Are opened bottles of sterile or distilled water discarded at the end of each working day?	Yes No N/A	17.4
Q45	Sterilizers: Is the reservoir drained and left clean and dry at the end of each day?	Yes No	4.11
Q46	Decontamination Environment: Is there a zoned workflow from dirty to clean?	Yes No	5.3, 5.6, 5.7
Q47	Decontamination Environment: Are there separate, dedicated decontamination room/s which are restricted to those performing decontamination duties?	Yes No	1.8
Q48	Decontamination Environment: Are decontamination areas and work surfaces clean and uncluttered?	Yes No	5.6
Q49	Decontamination Environment: Is there adequate ventilation in the clean and dirty room/s to service W/D and sterilizer?	Yes No N/A	6.42

	Question	Response	Reference in HTM
Q50	Decontamination Environment: Where full mechanical ventilation is used is direction of air, flowing from the clean area to dirty?	Yes No N/A	6.44, 6.45
Q51	Decontamination Environment: Are there procedures in place for the safe transfer of instruments within the practice?	Yes No	2.26, 2.27
Q52	Decontamination Environment: Are instruments maintained in a moist condition between use and decontamination?	Yes No	2.12, 3.5, 3.6
Q53	Decontamination Environment: If transport containers are in use, are they lidded, clean, leak proof and in good working order?	Yes No N/A	2.25
Q54	Decontamination Environment: Are transport containers cleaned, disinfected and dried following each use?	Yes No N/A	2.27
Q55	Decontamination Environment: Are instruments processed in non-vacuum (type N) sterilizer dried prior to packing using disposable non-linting cloth?	Yes No N/A	4.23
Q56	Decontamination Environment: Does the practice have a system in place to ensure that storage of non-wrapped instruments does not exceed: 1 day if stored in a clinical area; or 1 week if stored in a non-clinical area (i.e. clinical area not in current use, or in the clean area of a decontamination room)?	Yes No	4.24, 4.29
Q57	Decontamination Environment: Does the practice have a system in place to ensure that the storage of wrapped instruments does not exceed 1 year?	Yes No N/A	1.8, 4.24, 4.29
Q58	Decontamination Environment: For each instrument is there a system in place to identify storage time, including the date by which they should be used or reprocessed?	Yes No	4.24, 4.26
Q59	Decontamination Environment: Are instruments stored in a dedicated secure, dry and cool environment?	Yes No	4.27

3. Environmental design and cleaning

Question		Response	Reference in HTM
Q1	Does the practice have a policy and procedure for cleaning and maintaining the environment?	Yes No	2.6, 6.54
Q2	Have staff undertaking cleaning duties been fully trained to undertake such duties?	Yes No	6.55
Q3	Is the overall appearance of the clinical and decontamination environment tidy and uncluttered?	Yes No	5.6
Q4	Is the dental chair cleaned between each patient?	Yes No	6.46, 6.62
Q5	Is the dental chair free from rips or tears?	Yes No	6.62
Q6	Are all surfaces i.e. walls, floors, ceilings, fixtures and fittings and chairs free from damage and abrasion?	Yes No	6.38
Q7	Are all work-surface joints intact, seamless with no visible damage?	Yes No	6.46, 6.47
Q8	Are all surfaces i.e. walls, floors, ceilings, fixtures and fittings and chairs free from dust and visible dirt?	Yes No	6.38
Q9	Are the surfaces of accessible ventilation fittings/grills cleaned at a minimum weekly?	Yes No N/A	6.64
Q10	Are all surfaces in clinical and decontamination areas impervious and easy to clean?	Yes No	6.46, 6.64
Q11	Are keyboard covers or "easy-clean" waterproof keyboards used in clinical areas?	Yes No N/A	6.66
Q12	Are rooms where clinical practice takes place carpeted?	Yes No	6.46
Q13	Do all floor coverings in clinical and decontamination areas have coved edges that are sealed and impervious to moisture?	Yes No	6.47
Q14	Are soft toys available?	Yes No	6.73
Q15	Are free standing or ceiling mounted fans used in clinical/ decontamination areas?	Yes No	6.40
Q16	Are records of cleaning maintained in accordance with HCAI Code of Practice?	Yes No	6.54

Question		Response	Reference in HTM
Q17	Is cleaning equipment colour-coded, in accordance with the National Patient Safety Agency recommendations as detailed in HTM 01-05?	Yes No	6.53
Q18	Is cleaning equipment stored in a non-clinical area?	Yes No	6.60
Q19	Where disposable single-use covers are used, are they discarded after each patient contact?	Yes No N/A	6.65
Q20	Are the surfaces of equipment cleaned between each patient (E.g. work surfaces, dental chairs, curing lamps, delivery units, inspection handles and lights, spittoons, external surface of aspirator and X-ray heads)?	Yes No	6.62
Q21	Are all taps, drainage points, splashbacks, sinks, aspirators, drains, spittoons, cleaned after every session with a surfactant/ detergent?	Yes No	6.63
Q22	Are floors, cupboard doors and accessible high level surfaces and floors cleaned daily?	Yes No	6.63
Q23	Are floor coverings in clinical and decontamination areas impervious and easy-to-clean?	Yes No	6.46, 6.47, 6.49
Q24	Is there a designated area for the disposal of dirty water, which is outside the kitchen, clinical and decontamination areas; for example toilet, drain or slop-hopper (slop hopper is a device used for the disposal of liquid or solid waste)?	Yes No	
Q25	Does the practice have a local policy and procedure/s for spillage in accordance with COSHH?	Yes No	2.4d, 2.6

4. Hand Hygiene

	Question	Response	Reference in HTM
Q1	Does the practice have a local policy and procedure/s for hand hygiene?	Yes No	2.6, Appendix 1
Q2	Is hand hygiene an integral part of staff induction?	Yes No	6.3
Q3	Is hand hygiene training provided periodically throughout the year?	Yes No	1.22, 6.3
Q4	Is hand hygiene carried out before and after every new patient contact?	Yes No	Appendix 1
Q5	Is hand hygiene performed before donning and following the removal of gloves?	Yes No	6.4, Appendix 1
Q6	Do all staff involved in any clinical and decontamination procedures have short nails that are clean and free from nail extensions and varnish?	Yes No	6.8, 6.23, Appendix 1
Q7	Do all clinical and decontamination staff remove wrist watches, wrist jewellery, rings with stones during clinical and decontamination procedures?	Yes No	6.9, 6.22
Q8	Are there laminated or wipe-clean posters promoting hand hygiene on display?	Yes No	6.12
Q9	Is there a separate dedicated hand basin provided for hand hygiene in each surgery where clinical practice takes place?	Yes No	2.4g, 6.10
Q10	Is there a separate dedicated hand basin available in each room where the decontamination of equipment takes place?	Yes No	2.4u, 5.7, 6.10
Q11	Are wash-hand basins free from equipment and other utility items?	Yes No	2.4g, 5.7
Q12	Is bar soap available at wash-hand basins?	Yes No	6.5, Appendix 1
Q13	Are hand hygiene facilities clean and intact (check sinks taps, splash backs, soap and paper-towel dispensers)?	Yes No	6.11, 6.63
Q14	Are there plugs and overflows on wash-hand basins?	Yes No	6.10
Q15	In the wash-hand basins does the water from the tap discharge away from the drain aperture?	Yes No	6.10

	Question	Response	Reference in HTM
Q16	Are elbow/wrist/foot operated, electronic mixers or thermostatically controlled taps available at all wash hand basins in clinical and decontamination areas?	All, Some, None	6.10
Q17	Are nailbrushes present at wash-hand basins?	Yes No	Appendix 1
Q18	Is there good quality, mild liquid soap dispensed from single-use cartridge or containers available at each wash-hand basin?	Yes No	6.5, Appendix 1
Q19	Is skin disinfectant rub/gel available at the point of care?	Yes No	Appendix 1
Q20	Are good quality disposable absorbent paper towels used at all wash-hand basins?	Yes No	6.6, Appendix 1
Q21	Are hand-cream dispensers with disposable cartridges available for all clinical and decontamination staff?	Yes No	6.7, Appendix 1

5. Management of Dental Medical Devices

	Question	Response	Reference in HTM
Q1	Does the practice have an infection control policy that includes procedures for the use, maintenance, service and repair of all medical devices?	Yes No	1.18, 2.4a, 2.6, 2.7, 3.54
Q2	Does the practice identify an individual with nominated responsibility and authority to ensure that all staff comply with the medical device procedure?	Yes No	2.4c
Q3	Has the practice carried out a risk assessment for legionella under the Health and Safety Commission's "Legionnaires' disease - the control of legionella bacteria in water systems Approved Code of Practice and Guidance" (also known as L8)?	Yes No	6.75-6.90, 19.0
Q4	Has the Practice a written scheme for prevention of legionella contamination in water pipes and other water lines?	Yes No	6.75, 19.2
Q5	Are all new re-usable instruments decontaminated prior to use?	Yes No	2.6, 3.4, 10.24
Q6	Are contaminated medical devices decontaminated prior to inspection, maintenance and repair?	Yes No	3.54
Q7	Are instruments sent for repair labelled to identify that they have been through the decontamination process?	Yes No	3.54
Q8	Are single-use instruments re-processed?	Yes No N/A	2.17
Q9	Are single-use endodontic files and reamers reused?	Yes No	2.21
Q10	Dental Radiography: Are intra-oral films, digital sensors and cassettes handled and stored safely in accordance with manufacturer's instructions and to reduce cross-infection?	Yes No N/A	6.72
Q11	Dental Radiography: Are film holders used in intra-oral radiography subject to sterilization after every patient use in accordance with manufacturer's instructions?	Yes No N/A	6.72
Q12	Impression material, prosthetic and orthodontic appliances: Are impression materials, prosthetic and orthodontic appliances decontaminated in the surgery prior to despatch to laboratory in accordance with manufacturer's instructions?	Yes No	7.0
Q13	Impression material, prosthetic and orthodontic appliances: Are prosthetic and orthodontic appliances decontaminated before being placed in the patient's mouth?	Yes No	7.1b

	Question	Response	Reference in HTM
Q14	Other Medical Devices: Are single-use items only used for single-treatment episode and disposed of following use?	Yes No N/A	2.17
Q15	Other Medical Devices: Are Reusable endodontic reamers and files treated as single patient use?	Yes No N/A	2.18, 2.19
Q16	Other Medical Devices: Are difficult-to-clean instruments/devices (e.g. matrix bands, saliva ejectors, aspirator tips and three-in-one tips, etc) identified as single-use?	Yes No	2.17
Q17	Dental Unit Water lines (DUWLs): Are in-line filters cleaned/replaced as per manufacturer's instructions?	Yes No N/A	6.89, 6.90
Q18	Dental Unit Water lines (DUWLs): Is there an independent bottled-water system used to dispense distilled, reverse osmosis (RO) or sterile water to supply the DUWL?	Yes No N/A	6.84
Q19	Dental Unit Water lines (DUWLs): For dental surgical procedures, involving irrigation is a separate single use sterile water source used for irrigation?	Yes No	6.91
Q20	Dental Unit Water lines (DUWLs): Are the DUWLs drained down at the end of every working day?	Yes No N/A	6.82
Q21	Dental Unit Water lines (DUWLs): Are self-contained water bottles (bottled water system) removed, flushed with distilled or RO water and left open to the air for drying on a daily basis, and if necessary overnight, and in accordance with manufacturer's guidance?	Yes No N/A	6.83
Q22	Dental Unit Water lines (DUWLs): Where bottled water systems are not used is there a physical air gap separating dental unit waterlines from mains water systems (Type A)?	Yes No N/A	6.84
Q23	Dental Unit Water lines (DUWLs): Are DUWLs flushed for a minimum of 2 minutes at start of each working day and for a minimum of 20-30 seconds between every patient?	Yes No	6.85
Q24	Dental Unit Water lines (DUWLs): Are all DUWL and handpieces fitted with anti-retraction valves?	Yes No	6.87
Q25	Dental Unit Water lines (DUWLs): Are DUWLs either disposable or purged using manufacturer's recommended disinfectants?	Yes No	6.84-6.86
Q26	Dental Unit Water lines (DUWLs): Are DUWL filters changed according to the manufacturer's guidelines?	Yes No	6.89
Q27	Inhalation Sedation Machines [ISM]: Are ISM breathing systems (tubing, masks, nasal hood and nose pieces) used in accordance with manufacturers' or suppliers' instructions?	Yes No N/A	

	Question	Response	Reference in HTM
Q28	Inhalation Sedation Machines [ISM]: Are ISM flowmeters used and maintained in accordance with original equipment manufacturers' or suppliers' instructions?	Yes No N/A	

6. Personal Protective Equipment

	Question	Response	Reference in HTM
Q1	Does the practice have a policy and procedures for the use of personal protective equipment?	Yes No	2.6, 6.13
Q2	Are staff trained in the use of personal protective equipment as part of the practice induction?	Yes No	6.13
Q3	Are powder-free CE marked gloves used in the practice?	Yes No	6.20
Q4	Are alternatives to latex gloves available?	Yes No	6.19, 6.20
Q5	Are all single-use PPE disposed of after each episode of patient care?	Yes No	6.21, 6.25, 6.36c
Q6	Is hand hygiene performed before donning and following the removal of gloves?	Yes No	6.4, Appendix 1
Q7	Are clean, heavy duty household gloves available for domestic cleaning and decontamination procedures where necessary?	Yes No	6.23
Q8	Are heavy-duty household gloves washed with detergent and hot water and left to dry after each use?	Yes No N/A	6.23
Q9	Are heavy-duty household gloves replaced weekly or more frequently if worn or torn?	Yes No N/A	6.23
Q10	Are disposable plastic aprons worn during all decontamination processes or clinical procedures where there is a risk that clothing/uniform may become contaminated?	Yes No	6.14, 6.24-6.25
Q11	Are single-use plastic aprons disposed of as clinical waste after each procedure?	Yes No	6.25
Q12	Are plastic aprons, goggles, masks or face shields used for any clinical and decontamination procedures where there is a danger of splashes?	Yes No	6.14, 6.26-6.29
Q13	Are masks disposed of as clinical waste after each use?	Yes No	6.27, 6.36
Q14	Are all items of PPE stored in accordance with manufacturers' instructions?	Yes No N/A	6.14
Q15	Are uniforms worn by all staff changed at the end of each day and when visibly contaminated?	Yes No	6.34
Q16	Is eye protection for staff used during decontamination procedures cleaned after each session or sooner if visibly contaminated?	Yes No	6.29

	Question	Response	Reference in HTM
Q17	Is eye protection provided for the patient and staff decontaminated after each episode of patient care?	Yes No	6.29

7. Waste

Question		Response	Reference in HTM
Q1	Does the practice have a policy and procedure/s for the management and disposal of waste?	Yes No	2.6, 6.1 (07-01), 6.4 (07-01)
Q2	Have all staff attended induction and ongoing training in the process of waste disposal?	Yes No	1.22, 6.43 (07-01), 6.51 (07-01)
Q3	Is there evidence that the waste contractor is a registered waste carrier?	Yes No	6.87 (07-01), 6.90 (07-01)
Q4	Is the Practice registered with Environment agency if generating over 500kg per annum of hazardous waste?	Yes No N/A	3.25 (07-01), 3.26 (07-01)
Q5	Are all disposable PPE disposed of as clinical waste?	Yes No N/A	6.26, 6.27, 6.36, Figure 13 (07-01)
Q6	Are orange bags used for infectious Category B waste such as blooded swabs, blood-contaminated gloves and teeth without amalgam fillings?	Yes No	Figure 13 (07-01), 5.39 (07-01), Ch10 - Dental 12 (07-01)
Q7	Are yellow striped black bags used for offensive/hygiene waste such as non-infectious recognisable healthcare waste e.g. gowns, tissues, non-contaminated gloves, X-ray film, etc, which are not contaminated with saliva, blood, medicines, chemicals or amalgam?	Yes No	Figure 13 (07-01), 5.50 (07-01), Ch10 - Dental 8 (07-01)
Q8	Are black/clear bags used for domestic waste including paper towels?	Yes No	Figure 13 (07-01), 5.51 (07-01)
Q9	Are bins foot operated or sensor controlled, lidded and in good working order?	All, Some, None	5.90 (07-01)
Q10	Are local anaesthetic cartridges and other Prescription Only Medicines (POMs) disposed of in yellow containers with a yellow lid that conforms to BS 7320 (1990)/UN 3291?	Yes No	Figure 13 (07-01), Ch10 - Dental 11 (07-01)
Q11	Are clinical waste sacks securely tied and sharps containers locked before disposal?	Yes No	5.87 (07-01)
Q12	Are all clinical waste bags and sharps containers labelled before disposal?	Yes No	5.23 (07-01), 5.25 (07-01)
Q13	Is waste awaiting collection stored in a safe and secure location away from the public within the practice premises?	Yes No	5.33 (07-01), 5.96 (07-01)

Question		Response	Reference in HTM
Q14	Are all clinical waste bags fully described using the appropriate European Waste Catalogue (EWC) Codes as listed in HTM 07-01 (Safe Management of Healthcare Waste)?	Yes No N/A	3.32 (07-01)
Q15	Are all consignment notes for all hazardous waste retained for at least 3 years?	Yes No	6.105 (07-01)
Q16	Has the practice been assured that a "duty of care" audit has been undertaken and recorded from producer to final disposal?	Yes No	6.1 (07-01), 6.9 (07-01)
Q17	Is there evidence the practice is segregating waste in accordance with HTM 07-01?	Yes No	5.86 (07-01), 5.88 (07-01), 4.18 (07-01)